# UKRI Open Access Policy for monographs and other long form works.

UK Research and Innovation (UKRI)announced its new [open access policy](https://www.ukri.org/publications/ukri-open-access-policy/) in August 2021.

From 1st January 2024, if you are publishing a UKRI funded long form publication, you must follow the policy as it relates to these outputs.

## In scope items

* Monographs, book chapters, edited collections, that acknowledge funding from
  + UKRI or its councils
  + Research England
  + Innovate UK.

## Open access requirements

* Items must be open access, either
  + The version of record, immediately via publisher’s platform OR
  + The accepted manuscript in a repository with a maximum 12-month embargo
* Items must have a Creative Commons licence

## Paying for open access

UKRI are making £3.5m a year available to support open access publication of these outputs. The fund will be centrally managed by UKRI; research organisations will apply for funding when necessary.

## How the funding works

There are two stages:

Stage 1: the research organisation, on behalf of the researcher, registers the output with UKRI, via an online form. There does not need to be a publishing agreement in place at this time, but there must be a clear link between the output, author and a UKRI research grant.

UKRI will decide if the output meets the criteria, and will either approve in principle, or decline the application. If approved, the research organisation will make payment on receipt of the publisher’s invoice.

Stage 2: on publication, the research organisation will send evidence of open access publication and final costs to UKRI, who will refund the payment via a grant to the organisation.

## Levels of funding available

UKRI have not set limits on

* Payments relating to individual authors
* Outputs related to individual grants

if there is a clear link between the author, the grant, and the output.

UKRI **have** set limits on:

* Funding for monographs (£10,000 inc. VAT)
* Funding for book chapters (£1000, inc. VAT)
* Funding for outputs published under Diamond open access (£6,000 for first item, £3,000 for others published under same initiative)
* Time in which funding can be requested (7 years from close of grant, unless there are exceptional circumstances, e.g. relating to equality considerations.)

## What you need to do

Contact the library’s research support team, as soon as you can, to discuss requirements, and **before** you agree with a publisher that Open Access costs can be met. You must supply the library with required information which will enable us to submit an application for funding, if required.

Don’t forget that using the Research Repository, with a maximum 12-month embargo, may be a suitable option to meet the policy requirements, and will not incur any direct costs!

## What you must not do

Attempt to apply for funding yourself. UKRI will not accept applications from individual researchers.

Agree that any costs can be met before we have confirmation of a Stage 1 approval from UKRI. The library does not have additional funding to support this policy, and we will not be able to pay publisher invoices if we do not have Stage 1 approval from UKRI.

Further information about the payment process is available on [UKRI’s website](https://www.ukri.org/manage-your-award/publishing-your-research-findings/open-access-funding-and-reporting/#contents-list).