## Policy for UKRI block grant applications

From April 2022 onwards, funds may only be available for UKRI-funded publications which meet the following criteria:

1. The article is to be published in a pure Gold Open Access journal (i.e. the journal does not charge a subscription fee and is freely available online to all) and is listed in the [DOAJ (Directory of Open Access Journals)](https://doaj.org/)
2. The article is to be published in JISC approved transformative journal which UWE does not have an agreement with and therefore an APC is payable. Please contact lib.rke@uwe.ac.uk before submission to check compliance with UKRI policy.

## Please note that this form will be retained for the purposes of reporting on our UKRI block grant expenditure and for related queries only. It will be deleted when we are no longer in receipt of an UKRI block grant.

## Policy for CATE open access fund applications

An application should be made by the corresponding UWE author prior to submission of the article. Having an article accepted for publication in an OA journal is not sufficient reason that the APC should be paid.

If you are advised that your article will be put forward to the CATE fund you will need to supply a copy for review.

*How the application will be judged*

The Associate Dean and Director of Research will be responsible for the final decision. They will consult the relevant REF Unit of Assessment Leader(s) and/or Research Centre Director as to the quality of the article concerned. In coming to a decision the following considerations will apply:

* Is the article a high quality contribution to knowledge?
* Is there a potential UWE impact case study to which the availability of knowledge in the article could contribute?
* Is there a significant likelihood that timely availability of the article in open access form could help advance towards and realise (further) impact?

A decision will normally be made within three weeks of receipt of the application.

## Please note that this form will be retained for the purposes of reporting on the CATE open access fund expenditure and for related queries only. It will be deleted if/when the fund is removed.

Full information on both schemes can be found at <https://www.uwe.ac.uk/study/library/research-support/open-access-publishing/make-my-work-open-access>

Application for payment of Article Processing Charge

|  |  |
| --- | --- |
| **Details of Applicant** |  |
| Name of applicant |  |
| Faculty |  |
| Research Centre |  |
| Members of CATE, please complete:  | Do you wish to access: * UKRI block grant? YES/NO
* CATE Open access fund (if not UKRI funded) YES/NO\*

  |
| Name of PI (if different) |  |
| PI Faculty (if different) |  |
| Or Institution (if not UWE) |  |
| Date of APC application |  |
|  |  |
| **Details of grant** |  |
| Grant reference number |  |
| Research Council (initials) |  |
| Title of project |  |
| Start date |  |
| End date |  |
| UWE Project Code |  |
|  |  |
| **Details of Publication** |  |
| Journal title |  |
| Publisher |  |
| ISSN |  |
| Title of article |  |
| APC (£) |  |
| Proposed date of publication |  |
| CATE OA FUND ONLY: How does your paper meet the specified criteria?  |  |

**Please submit this form to: Library Research Support:** **lib.rke@uwe.ac.uk**

**For Office use**

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| --- | --- |
| Outcome |   |
| Amount funded |  |
| Date of decision |  |
| Authorised by |  |
| Transfer made  |  |