Annex 3: Examples of funder requirements in addition to compliance with the Concordat

There is an increasing focus internationally on the need to promote research integrity. The *Singapore Statement on Research Integrity* (2010) and the Montreal Statement which followed it in 2013 highlighted the responsibilities of those involved in research, and, along with developments such as the *European Code of Conduct for Research Integrity* (2011), began to raise the profile of this issue on the global stage. This has been followed, in the UK, by the *Concordat to Support Research Integrity*, which sets out a comprehensive national framework for good research conduct and its governance. In this context, a range of funders have set out their own expectations in relation to research integrity.

The following is not an exhaustive list, and such policies may change from time to time. Researchers are required to fully familiarise themselves with such funder requirements and ensure that all research complies with the requirements of its funder.

i) UKRI requirements

In addition to the requirements set out in the **Concordat**, for research funded by research councils, the University will comply, and will expect its staff and students to comply, with the expectations of the [UKRI policy and guidelines for good research conduct](#) which are summarised as:

> *'All are expected to observe the highest standards of integrity, honesty and professionalism and to embed good practice in every aspect of their work. This includes the interpretation and presentation of research results and contributions to the peer review process and the training of new researchers, staff and students as well as the conduct of the research itself. That is, individual actions must comply with the principles of honesty, openness, transparency and research rigour.'*

UKRI produces a number of policies and guidance documents, including:
- [Good research resource hub – UKRI](#)
- [UKRI policy and guidelines for good research conduct](#)
- [Research Integrity guidance](#)

ii) Individual Research Council requirements

In addition to the Concordat and the UKRI requirements, individual Research Councils also require compliance with a number of policies and guidelines which relate to their specific areas of research. These include:
- [ESRC Guidance on research ethics(Economic and Social Research Council)](#)

- [ESRC Research Data Policy](#) (2018) the [EPSRC Policy Framework on Research Data](#) and the [MRC Data Sharing Policy (Medical Research Council)](#)
- [MRC Ethics and governance guidelines](#) including Good Research Practice: Principles and Guidelines (2012)
- [BBRSRC policies](#) (Biotechnology and Biological Sciences Research Council) include access to research outputs, data sharing, joint code of practice for research, managing risks of research misuse and safeguarding good scientific practice, animal welfare and animals in bioscience research
- BBSRC MRC (Medical Research Council) EPSRC (Engineering and Physical Sciences Research Council) NERC (Natural Environment Research Council), and DEFRA and Wellcome Trust, [Responsibility in the use of animals in bioscience research](#)
- [NERC policies](#) including animals in research, conflicts of interests, ethics and good research conduct and research integrity
- [EPSRC Framework of Responsible Innovation](#)

iii)   [UK Policy Framework for Health and Social Care Research](#)

The Framework sets out clear responsibilities for organisations in relation to the role of Research Sponsor and the role of employer. It also sets out the responsibilities of researchers. The University will comply with the requirements of a Sponsor, when acting in that capacity, and an employer, and requires its staff and students to familiarise themselves with, and comply with, the researcher responsibilities.

Note: Approval is required from the appropriate College Dean for Research and Enterprise **before** UWE can take on the Sponsors' role as defined under the DoH Research Governance Framework.

NIHR requirements for approvals, registration and governance are set out at https://www.nihr.ac.uk/researchers/manage-your-funding/manage-your-project/approvals-registration-and-governance.htm

iv) Other funders

Wellcome Trust set out policy and position statements for grant holders across a range of subjects: https://wellcome.org/grant-funding/guidance/grant-funding-policies